

ARTER & HADDEN LLP

ATTORNEYS AT LAW

founded 1843

Cleveland
Columbus
Dallas
Dayton
Irvine
Los Angeles

1100 Huntington Building
925 Euclid Avenue
Cleveland, Ohio 44115-1475
telephone 216.696.1100
facsimile 216.696.2645

San Diego
San Francisco
Washington, D.C.
Woodland Hills
Affiliate Office
Geneva, Switzerland

Direct Dial: (216) 696-3466
Internet Address: smizer@arterhadden.com

7 January, 2002

Ms. Lucy Poignand
GRADIPORE, LTD
22 Rodborough Road
P.O. Box 6126
Frenchs Forest NSW 2086
AUSTRALIA

Re: U.S. Continuation-In-Part Applications For G6 Series:
"REMOVAL OF BIOLOGICAL CONTAMINANTS"
CIP of 09/931,342
Filed: December 7, 2001; Serial No.: 10/006,241; Docket No: 75978/16008

"REMOVAL OF BIOLOGICAL CONTAMINANTS"
CIP of 09/887,208
Filed: January 3, 2002; Docket No. 75978/16009

"REMOVAL OF BIOLOGICAL CONTAMINANTS"
CIP of 09/887,371
Filed: January 2, 2002; Docket No. 75978/16010

Dear Ms. Poignand:

Enclosed please find copies of the above-referenced continuation-in-part applications for the G6 series, which were recently filed with the United States Patent & Trademark Office.

Also enclosed please find Declarations/Powers of Attorney and Assignments to be executed by Brendon Conlan, Tracey Ann Edgell, May Lazar, Chenicheri Hariharan Nair, Elizabeth Jean Seabrook and Thomas Norman Turton for the above-referenced applications. We also included for execution Designations of Domestic Representative and Statements Under 37 CFR 3.73(b) to be filed with the Assignments. If you find the documents to be accurate, please have the same executed and returned to us for filing in the United States Patent and Trademark Office. If a minor change needs to be made to the Declarations or the Assignments (but the document is still executable), please date and initial the hand-written change.

Finally, as we must remind you, under the rules of practice before the U.S. Patent and Trademark Office, all individuals involved in the preparation or prosecution of a patent application, have a duty to disclose to the Patent Office information they are aware of which is material to the examination of the application. Information is considered "material" where there is a substantial likelihood that a reasonable examiner would consider it important in assessing the

Ms. Lucy Poignand
January 7, 2002
Page 2

ARTER & HADDEN LLP

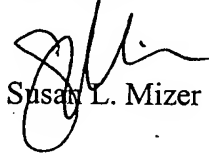
patentability of the invention. Prior art includes earlier patents, publications, competitor's technology, and the like. We therefore ask that you provide us with copies of any prior art for the above-referenced applications that you or the inventors are aware of that we do not currently have in our files. The Information Disclosure Statements should be filed with the U.S. Patent and Trademark Office as soon as possible.

We note that the obligation to disclose prior art extends throughout the pendency of the patent application, and we must supplement the disclosure promptly as any **additional** relevant information becomes known.

Please do not hesitate to contact us with any questions and/or for additional assistance.

Sincerely,

ARTER & HADDEN LLP



Susan L. Mizer

SLM:ack
Enclosure(s)

cc: Trevor Davies (w/encl.)

630675.1

DECLARATION FOR PATENT APPLICATION

As below named inventors, we hereby declare that:

Our residence, post office address, and citizenship are as stated below next to our name.

We believe that we are the original, first and sole inventors of the subject matter which is claimed, and for which a patent is sought on the invention entitled:

REMOVAL OF BIOLOGICAL CONTAMINANTS

the specification of which is attached hereto, unless the following box is checked:

X was filed on _____, as United States Application Number
_____.

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

We hereby claim foreign priority benefits under Title 35, United States Code, §§119(a) - (d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

AUSTRALIA/ PP7906; Filed 23 DECEMBER 1999.

We hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

NONE

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, We acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

U.S. APPLICATION NO. 09/887,371 filed 22 JUNE, 2001.

We hereby appoint the following registered attorney(s) and/or agent(s) associated with Customer No. 23380 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Susan L. Mizer, Reg. No. 38,245
Alan J. Ross, Reg. No. 33,767
John X. Garred, Reg. No. 31,830
James C. Scott, Reg. No. 35,351
Larry B. Donovan, Reg. 47,230
Jay P. Ryan, Reg. No. 37,064
Eric D. Jorgenson, Reg. No. 46,002
Timothy E. Manning, Reg. No. 48,964
Marisa Zink, Reg. No. 48,064

Direct all telephone calls to : Susan L. Mizer
at telephone number : (216) 696-3466

Direct all correspondence to : Susan L. Mizer

ARTER & HADDEN LLP
1100 Huntington Building
925 Euclid Avenue
Cleveland, Ohio 44115-1475
Customer No.: 23380

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: BRENDON CONLAN

Inventor's signature : _____

Date : _____

Residence : 14/19-21 Murray Street, Lane Cove, Sydney, NSW, 2066, Australia

Citizenship : _____

Post Office Address : 14/19-21 Murray Street, Lane Cove, Sydney, NSW, 2066, Australia

Full name of sole or second inventor: TRACEY ANN EDGELL

Inventor's signature : _____

Date : _____

Residence : Unit 2, 7 Holborn Avenue, Dee Why, NSW, 2099, Australia

Citizenship : _____

Post Office Address : Unit 2, 7 Holborn Avenue, Dee Why, NSW, 2099, Australia

Full name of third inventor: MAY LAZAR

Inventor's signature : _____

Date : _____

Residence : 14 Hamersly Street, Fairfield West, NSW 2165, Australia

Citizenship : _____

Post Office Address : 14 Hamersly Street, Fairfield West, NSW 2165, Australia

Full name of third inventor: CHENICHERI HARIHARAN NAIR

Inventor's signature : _____

Date : _____

Residence : 80 Tomac Avenue, Old Greenwich, CT 06870, United States

Citizenship : _____

Post Office Address : 80 Tomac Avenue, Old Greenwich, CT 06870, United States

Full name of third inventor: ELIZABETH JEAN SEABROOK

Inventor's signature : _____

Date : _____

Residence : Unit 8, 4-6 Lynvale Close, Lane Cove, NSW 2066, Australia

Citizenship : _____

Post Office Address : Unit 8, 4-6 Lynvale Close, Lane Cove, NSW 2066, Australia

Full name of third inventor: THOMAS NORMAN TURTON

Inventor's signature : _____

Date : _____

Residence : Unit 14, 40 Gerard Street, Cremorne, Sydney, NSW, 2090, Australia

Citizenship : _____

Post Office Address : Unit 14, 40 Gerard Street, Cremorne, Sydney, NSW, 2090, Australia

630270.1
(75978/16010)

ASSIGNMENT

In consideration of the payment by ASSIGNEE to ASSIGNOR(S) of the sum of Ten Dollars (\$10.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR: Name: Brendon Conlan

 Address: 14/19-21 Murrary Street, Lane Cove
 Sydney, NSW, 2066, Australia

 Name: Tracey Ann Edgell

 Address: Unit 2, 7 Holborn Avenue
 Dee Why, NSW 2099, Australia

 Name: May Lazar

 Address: 14 Hamersly Street
 Fairfield West, NSW 2165, Australia

 Name: Chenicheri Hariharan Nair

 Address: 80 Tomac Avenue
 Old Greenwich, CT 06870
 United States

 Name: Elizabeth Jean Seabrook

 Address: Unit 8, 4-6 Lynvale Close
 Lane Cove, NSW 2066, Australia

 Name: Thomas Norman Turton

 Address: Unit 14, 40 Gerard Street, Cremorne
 Sydney, NSW, 2090, Australia

hereby sell, assign and transfer to

ASSIGNEE: Name: Gradipore Limited

Principal Place
Of Business: 22 Rodborough Road
P.O. Box 6126
Frenchs Forest NSW
2086 Australia

Country of
Incorporation: Australia

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions, and in all foreign countries, including all rights to claim priority in and to any and all improvements which are disclosed in the invention entitled:

REMOVAL OF BIOLOGICAL CONTAMINANTS

and which is found in (37 C.F.R. § 3.21)

___ U.S. patent application executed on the ___ day of ___, 20___

___ U.S. patent application executed on the ___ day of ___, 20___

___ U.S. patent application executed on the ___ day of ___, 20___

To comply with 37 C.F.R. § 3.21 for recordal of this assignment, we, as ASSIGNOR(S) signing below, hereby authorize and request our attorney to insert below the filing date and application number when they become known.

U.S. Application No. ___, filed on _____.

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for such invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent, any reissue or re-examination thereof.

ASSIGNOR(S) hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR(S) further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR(S) and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and

deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Signed at _____, _____,
(City) (State)

_____ on this _____ day of _____, 2002.
(Country) (Day) (Month)

BRENDON CONLAN

Signed at _____, _____,
(City) (State)

_____ on this _____ day of _____, 2002.
(Country) (Day) (Month)

TRACEY ANN EDGELL

Signed at _____, _____,
(City) (State)

_____ on this _____ day of _____, 2002.
(Country) (Day) (Month)

MAY LAZAR

Signed at _____, _____,
(City) (State)

_____ on this _____ day of _____, 2002.
(Country) (Day) (Month)

CHENICHERI HARIHARAN NAIR

Signed at _____, _____,
(City) (State)

_____ on this _____ day of _____, 2002.
(Country) (Day) (Month)

ELIZABETH JEAN SEABROOK

Signed at _____, _____,
(City) (State)

_____ on this _____ day of _____, 2002.
(Country) (Day) (Month)

THOMAS NORMAN TURTON

Designation of Domestic Representative by Assignee
(37 CFR 3.61)

Applicant: Gradipore Limited

Application No.: _____ Filed: _____

Entitled: **REMOVAL OF BIOLOGICAL CONTAMINANTS**

Gradipore Limited, an Australian Corporation,
(Name of Assignee), (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

is the assignee of 100% of the right, title and interest in the patent application identified above by virtue of:

- ☒ An assignment from the inventor(s) of the patent application identified above.
☐ Copies of assignments or other documents in the chain of title are attached.

In accordance with 37 CFR 3.37, the assignee hereby certifies that the evidentiary documents, with respect to its ownership, have been reviewed and that, to the best of assignee's knowledge and belief, title is in the assignee seeking to take this action.

The assignee does not reside in the United States.

The assignee hereby designates, as domestic representative, on whom process or notice of proceedings affecting the application may be served:

Susan L. Mizer
Arter & Hadden LLP
1100 Huntington Building
925 Euclid Avenue
Cleveland, Ohio 44115

Telephone: (216) 696-3466 / Facsimile: (216) 696-2645

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application and any patent issuing thereon.

The undersigned is empowered to sign this certificate on behalf of the assignee.

Date

Signature

Typed or printed name

Title

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: GRADIPORE LIMITED

Application No./Patent No.: _____ Filed/Issue Date: _____

Entitled: REMOVAL OF BIOLOGICAL CONTAMINANTSGRADIPORE LIMITED, an AUSTRALIAN CORPORATION

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Date_____
Typed or printed name_____
Signature_____
Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.